	02-27-02 PTO/SB/05 (11-00)			
Please type a plus sign (+) inside this box   H  Under the Paperwork Reduction Act of 1995, no persons are required to respon	Approved for use through 10/31/2002 OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE d to a collection of information unless it displays a valid OMB control number.			
UTILITY	Attorney Docket No. 01-10416			
PATENT APPLICATION	First Inventor WALBURGER, Randy			
TRANSMITTAL	Title MODULAR EMERGENCY SHELTER SYSTEM			
(Only for new nonprovisional applications under 37 CFR 1.53(b))	Express Mail Label No. EV 066014495 US			
APPLICATION ELEMENTS  Bee MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231			
1. X Fee Transmittal Form (e.g., PTO/SB/17)  (Submit an original and a duplicate for fee processing)  Applicant claims small entity status.  See 37 CFR 1.27.  3. X Specification [Total Pages 17]  - Descriptive title of the invention  - Cross Reference to Related Applications  - Statement Regarding Fed sponsored R & D  - Reference to sequence listing, a table, or a computer program listing appendix  - Background of the Invention  - Brief Summary of the Invention  - Brief Description of the Drawings (if filed)  - Detailed Description  - Claim(s)  - Abstract of the Disclosure	7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. Computer Readable Form (CRF)  b. Specification Sequence Listing on:  i. CD-ROM or CD-R (2 copies); or  ii. paper  c. Statements verifying identity of above copies  ACCOMPANYING APPLICATION PARTS  9. Assignment Papers (cover sheet & document(s))  10. 37 CFR 3.73(b) Statement Power of (when there is an assignee)			
4. X Drawing(s) (35 U.S.C. 113) [ Total Sheets 3 ]  5. Oath or Declaration [ Total Pages 3 ]  a. X Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed)  i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	11. English Translation Document (if applicable)  12. X Information Disclosure Statement (IDS)/PTO-1449 X Copies of IDS Citations  13. Preliminary Amendment  14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  15. Certified Copy of Priority Document(s) (if foreign priority is claimed)  16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35			

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.

or its equivalent.

Other:

1-310-451-0647

Prior application information: Group Art Unit: Examiner

Application Data Sheet. See 37 CFR 1.76

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS Correspondence address below Customer Number or Bar Code Label Name Robert J. Lauson, Esq. CISLO & THOMAS LLP 233 Wilshire Boulevard, Suite 900 <u>Address</u> State Zip Code City Santa Monica Country Fax

Name (Print/Type)	Robert V. Lauson Esq.	Registration No. (Attorney/Agent) 41,930
Signature		Date 25 7 2002
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Telephone

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# **FEE TRANSMITTAL** for FY 2000

Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

(\$)355.00

Complete if Known		
Application Number	N/A	
Filing Date	Filed Herewith	
First Named Inventor	WALBURGER, Randy	
Examiner Name	N/A	
Group / Art Unit	N/A	
Attorney Docket No.	01-10416	

Date

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)					
1. The Commissioner is hereby authorized to charge 3.			3. ADDITIONAL FEES				
indicated fees and credit any overpayments to:	Large Fee	Entity Fee	/Sma	II Entit			
Deposit 00 0000	Code			e (\$)	Fee Description	Fee Paid	
Account Number 03-2030	105	130	205	65	Surcharge - late filing fee or oath	0.00	
Deposit CIGI O & FINON (A.C. I.I.D.	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	0.00	
Account Name CISLO & THOMAS LLP	139	130	139	130	Non-English specification	0.00	
Charge Any Additional Fee Required	147	2,520	147	2,520	For filing a request for reexamination	0.00	
- chart of or this are the	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	0.00	
2.	113	1,840*	113	1,840	Requesting publication of SIR after Examiner action	0.00	
	115	110	215	55	Extension for reply within first month	0.00	
FEE CALCULATION	116	380	216	190	Extension for reply within second month	0.00	
1. BASIC FILING FEE	117	870	217	435	Extension for reply within third month	0.00	
Large Entity Small Entity Fee Fee Fee Fee Description		1,360	218	680	Extension for reply within fourth month	0.00	
Code (\$) Code (\$) Fee Paid		1.850	228		Extension for reply within fifth month	0.00	
101 690 201 345 Utility filing fee 355.00	119	300		150	Notice of Appeal	0.00	
106 310 206 155 Design filing fee	120	300	220	150	Filing a brief in support of an appeal	0.00	
107 480 207 240 Plant filing fee	121	260	221	130	Request for oral hearing	0.00	
108 690 208 345 Reissue filing fee	1	1,510		1.510	Petition to institute a public use proceeding	0.00	
114 150 214 75 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable	0.00	
SUBTOTAL (1) (\$) 355.00		1,210	241		Petition to revive - unintentional	0.00	
2. EXTRA CLAIM FEES	142	1,210	242	605	Utility issue fee (or reissue)	0.00	
Fee from  Extra Claims below Fee Paid	143	430	243	215	Design issue fee	0 00	
Total Claims 20 -20** = 0 X 9 = 0	144	580	244	290	Plant issue fee	0.00	
Independent $3 - 3^{**} = 0 \times 40 = 0$	122	130	122	130	Petitions to the Commissioner	0.00	
Multiple Dependent =0	123	50	123	50	Petitions related to provisional applications	0.00	
**or number previously paid, if greater, For Reissues, see below	126	240	126	240	Submission of Information Disclosure Stmt		
Large Entity Small Entity Fee Fee Fee Fee Fee Description	581	40	581	40	Recording each patent assignment per	0.00	
Code (\$) Code (\$)					property (times number of properties)	0.00	
103 18 203 9 Claims in excess of 20 102 78 202 39 Independent claims in excess of 3	146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00	
102 78 202 39 Independent claims in excess of 3 104 260 204 130 Multiple dependent claim, if not paid	149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))	0.00	
109 78 209 39 ** Reissue ındependent claims over onginal patent	Other 1	faa /en	ecity)				
110 18 210 9 ** Reissue claims in excess of 20	Other	ice (sp	Cury			0.00	
and over original patent	Other i	fee (sp	ecify)			0.00	
SUBTOTAL (2) (\$) 0.00	Reduc	ced by	Basic	Filing I	Fee Paid SUBTOTAL (3) (\$) 0.	00	
SUBMITTED BY Complete (if applicable)							
Registration No. 1							
Name (Pnnt/Type) Robert J. Lauson, Esq.	1	(Attorne	v/Agen	t) I4	+1.730   1.5.5.5.5.11-310-43	1-004/	

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Signature

**WARNING:** 

25189
PATENT TRADEMARK OFFICE

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: WALBURGER, Randy

Serial Number:

Filed Herewith

Examiner:

N/A

Filed:

Herewith

Art Unit:

N/A

For:

MODULAR EMERGENCY SHELTER SYSTEM

**BOX PATENT APPLICATION** 

Commissioner for Patents Washington, D.C. 20231

## ASSERTION OF SMALL ENTITY STATUS

Dear Sir or Madam:

Applicant and Inventor, above, hereby claim small entity status under 37 C.F.R. § 1.9 as an independent inventor. It is not believed that any additional fees are due; however, in the event any additional fees are due, the Examiner is authorized to charge Applicant's attorney's deposit account no. 03-2030.

Respectfully submitted,

CISLO & THOMAS LLP

Date: February, 2002

Robert J. Lauson Reg. No. 41,930



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

WALBURGER, Randy

Serial Number:

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Examiner:

N/A

Filed:

Herewith

Art Unit:

N/A

For:

MODULAR EMERGENCY SHELTER SYSTEM

BOX PATENT APPLICATION
Assistant Commissioner for Patents

Washington, D.C. 20231

NONPUBLICATION REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i) and 37 C.F.R. § 1.213(a)

Dear Sir or Madam:

Under 37 C.F.R. § 1.213(a), Applicant respectfully requests that the application captioned above and filed herewith not be published pursuant to 35 U.S.C. § 122(b) and/or 37 C.F.R. § 1.211.

Applicant certifies that the invention disclosed in the said application has not been and will not be subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Respectfully submitted,

CISLO & THOMAS LLP

Date: February, 2002

Robert J. Lauson, Reg. No. 41,930

Z:\DOCS\_RLA\10416 NONPUBLICATION.REQ.DOC

25189

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

WALBURGER, Randy

Serial Number:

Filed Herewith

Examiner:

N/A

Filed:

Herewith

Art Unit:

N/A

For:

MODULAR EMERGENCY SHELTER SYSTEM

**BOX PATENT APPLICATION** 

Commissioner for Patents Washington, D.C. 20231

## REQUEST FOR USE OF CUSTOMER NUMBER

Dear Sir or Madam:

Applicant's representative has the following customer number lodged with the Patent Office:

25,189

25189

PATENT TRADEMARK OFFICE

The Office is respectfully requested to use this customer number (the bar code for which is above) for correspondence purposes as well as for online access via the PAIR system with respect to the present application.

Respectfully submitted,

CISLO & THOMAS LLP

Robert J. Lauson

Date: February 2002

CISLO & THOMAS LLP

233 Wilshire Boulevard, Suite 900

Santa Monica, California 90401-1211

Customer No.: 25,189

Tel: (310) 451-0647 Fax: (310) 394-4477

www.cislo.com

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

WALBURGER, Randy

Serial Number:

Filed Herewith

Filed:

Herewith

For:

MODULAR EMERGENCY SHELTER SYSTEM

Assistant Commissioner for Patents Post Office Box 3727 Arlington, Virginia 22202 BOX PATENT APPLICATION

#### CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mailing Label No. EV 066014495 US Deposited: February, 2002

Dear Sir:

Enclosed herewith are the following:

- 1. Patent Application Transmittal Letter (1 page) and Fee Transmittal noting small entity status (1 page);
  - 2. Check in the amount of \$355 for filing fees;
- 3. Patent Application (11 specification pages, 5 pages of claims, 1-page abstract, Assertion of Small Entity Status (1 page), and 3 drawing pages, for a total of 21 pages);
  - 4. Declaration and Power of Attorney for Patent Application (3 pages);
  - 5. Nonpublication Request Under 37 C.F.R. § 1.213(a) (1 page);

- 6. Information Disclosure Statement (1 page) with enclosed Information Disclosure Citation (Form PTO/SB/08A, 1 page) and 12 patent references;
  - 7. Request for Use of Customer Number (1 page); and
  - 8. Acknowledgement Postcard.

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R., Section 1.10, on the date indicated above and is addressed to:

Assistant Commissioner for Patents Washington, D.C. 20231 BOX PATENT APPLICATION

Respectfully submitted,

CISLO & THOMAS LLP

Date: February, 2002

Robert J. Lauson Reg. No. 41,930

CISLO & THOMAS LLP 233 Wilshire Boulevard, Suite 900 Santa Monica, California 90401-1211 Tel: (310) 451-0647

Fax: (310) 394-4477 www.cislo.com

RJL:ce

**Enclosures** 

As listed above

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